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PTO/SB/05 (12/97)

Approved for through 09/30/00, OMB 0651-0032

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 01978 0229 Total Pages 36  
First Named Inventor or Application Identifier  
Thomas P. Stewart et al.  
Express Mail Label No. EK522131412US

## APPLICATION ELEMENTS

MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

- 1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
- 2. ☒ Specification [Total Pages / 28 / ]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- 3. ☒ Drawing(s) (35 USC 113) [Total Sheets / 5 / ]
- 4. ☒ Oath or Declaration [Total Pages / 3 / ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and  
1.33(b).
- 5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from  
which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the  
disclosure of the accompanying application and is  
hereby incorporated by reference therein.

- 6. ☐ Microfiche Computer Program (Appendix)
- 7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- 8. ☒ Assignment Papers (cover sheet & document(s))
- 9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
- 10. ☐ English Translation Document (if applicable)
- 11. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
- 12. ☐ Preliminary Amendment
- 13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- 14. ☒ Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired
- 15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 16. ☒ Other: checks - \$1035.00 and 40.00

## 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: /

## 18. CORRESPONDENCE ADDRESS

NAME	Kevin D. McCarthy, Esq.				
	Hodgson, Russ, Andrews, Woods & Goodyear, LLP				
ADDRESS	One M&T Plaza, Suite 2000				
CITY	Buffalo	STATE	New York	ZIP CODE	14203-2391
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX	(716) 849-0349

"Express Mail" Mailing Label Number EK522131412US

Date of Deposit June 26, 2000

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kathleen M. Ashton  
Name

*Kathleen M. Ashton*  
Signature

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision. Small Entity payments **MUST** be supported by a small entity statement, otherwise large entity fees must be paid. 37 CFR §§ 1.27 & 1.28

Applicant Number

Filing Date

June 26, 2000

First Named Inventor

Thomas P. Stewart et al.

Examiner Name

Group/Art Unit

TOTAL AMOUNT OF PAYMENT

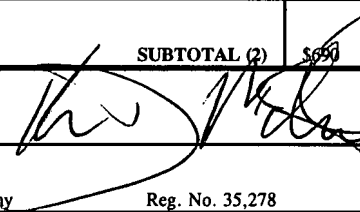
(\$1075.00)

Attorney Docket Number

01978.0229

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

## 3. ADDITIONAL FEES

Deposit Account Number	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
08-2442						
Deposit Account Name: Hodgson, Russ, Andrews, Woods & Goodyear, LLP						
	105	130	205	65	Surcharge - late filing fee or oath	\$
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17						
	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
2. <input checked="" type="checkbox"/> Payment Enclosed:						
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other						
	139	130	139	130	Non-English specification	\$
<b>FEE CALCULATION</b>						
	147	2,520	147	2,520	For filing a request for reexamination	\$
1. <b>FILING FEE</b>						
Large Entity Small Entity						
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid			
112	920*	Requesting Publication of SIR prior to Examiner Action	\$			
113	1,840*	Requesting Publication of SIR after Examiner Action	\$			
101	690	201 345 Utility filing fee	\$345	115	110	215 55 Extension for reply within first month
106	310	206 155 Design filing fee	\$	116	380	216 190 Extension for reply within second month
107	480	207 240 Plant filing fee	\$	117	870	217 435 Extension for reply within third month
108	690	208 345 Reissue filing fee	\$	118	1,360	218 680 Extension for reply within fourth month
114	150	214 75 Provisional filing fee	\$	128	1,850	228 925 Extension for reply within fifth month
<b>SUBTOTAL (1)</b>			\$345	119	300	219 150 Notice of Appeal
2. <b>EXTRA CLAIM FEES</b>						
Extra Fee from Claims below		Fee Paid				
Total Claims / 88 / -20** = / 68 / x / 9/		\$612	120	300	220 150	Filing a brief in support of an appeal
Independent Claims / 5 / - 3** = / 2 / x / 39 /		\$ 78	121	260	221 130	Request for oral hearing
Multiple dependent / / x / / =		\$	138	1,510	138 1,510	Petition to institute a public use proceeding
		\$	140	110	240 55	Petition to revive - unavoidable
Large Entity Small Entity						
Fee Code (\$)	Fee Code (\$)	Fee Description				
141	1,210	Petition to revive - unintentional	\$			
142	1,210	10 advance copies Utility issue fee (or reissue)	\$			
103	18	203 9 Claims in excess of 20	\$	143	430	243 215 Design issue fee
102	78	202 39 Independent claims in excess of 3	\$	144	580	244 290 Plant issue fee
104	260	204 130 Multiple dependent claim if not paid	\$	122	130	122 130 Petitions to the Commissioner
109	78	209 39 **Reissue independent claims over original patent	\$	123	50	123 50 Petitions related to provisional applications
110	18	210 9 **Reissue claims in excess of 20 and over original patent	\$	126	240	126 240 Submission of Information Disclosure Statement
<b>SUBTOTAL (2)</b>			\$690	581	40	581 40 Recording each patent assignment per property (times number of properties)
<b>SIGNATURE:</b> 						
Kevin D. McCarthy		Reg. No. 35,278	146	690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))
			149	690	249 345	For each additional invention to be examined (37 CFR 1.129(b))
<b>DATE:</b> June 26, 2000 <b>Telephone:</b> (716) 848-1622 <b>*Reduced by basic filing fee paid</b>						
<b>Express Mail</b> Mailing Label Number EK522131412US			<b>SUBTOTAL (3)</b> \$40			

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kathleen M. Ashton  
Name

Signature 